

# Photoactivated Directionally Controlled Transit of a Non-Symmetric Molecular Axle Through a Macrocycle\*\*

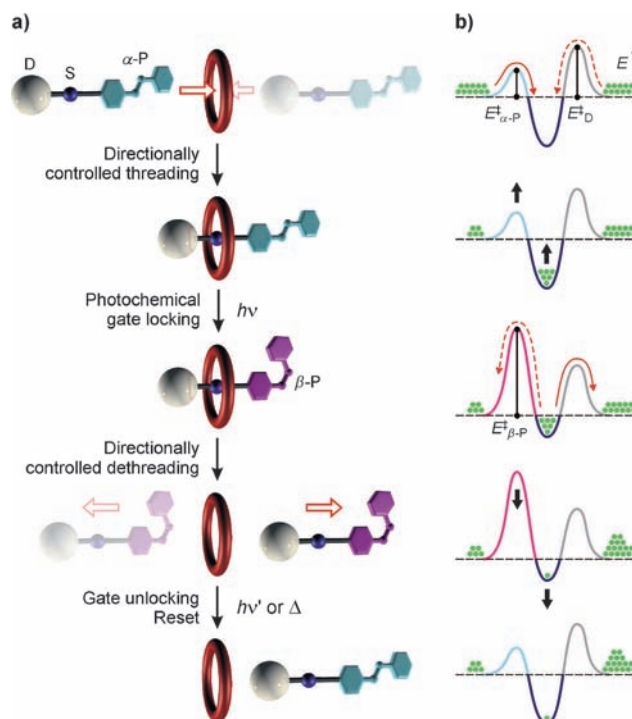
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Dedicated to Professor Vincenzo Balzani on the occasion of his 75th birthday

The control of motion on the molecular scale is of fundamental importance for living organisms,<sup>[1]</sup> and one of the most fascinating challenges in nanoscience.<sup>[2,3]</sup> Artificial molecular machines have been realized on the laboratory scale,<sup>[4]</sup> and utilization of such systems to construct responsive materials<sup>[5]</sup> and surfaces,<sup>[6]</sup> control catalytic processes,<sup>[7]</sup> and develop test structures for information storage devices<sup>[8]</sup> and drug delivery<sup>[9]</sup> has been investigated. Nevertheless, the construction of synthetic nanoscale motors capable of showing directionally controlled linear or rotary movements still poses a considerable challenge to chemists.<sup>[10]</sup> Moreover, the use of such systems to perform the tasks that natural molecular motors do,<sup>[11]</sup> namely active transport of substrates over long distances or across membranes, remains a very difficult endeavor that is further complicated by the fact that most currently available synthetic molecular motors are based on sophisticated chemical structures and/or operation procedures.<sup>[4,10]</sup> In this context, the development of (supra)molecular systems that exhibit directionally controlled relative motions of their components based on a minimalist design and activated by convenient inputs is of the highest importance.

Herein we describe the construction and operation of a simple supramolecular assembly in which a molecular axle passes unidirectionally through the cavity of a molecular ring in response to photochemical and chemical stimulation. A system of this kind constitutes a first step towards the construction of an artificial molecular pump; it can also lead to the realization of molecular linear motors based on rotaxanes and rotary motors based on catenanes.<sup>[12]</sup>

The strategy that we have tackled is illustrated in Figure 1. The system is composed of a molecular ring and a non-symmetric molecular axle that comprises 1) a passive pseudo-stopper (D) at one end; 2) a central recognition site (S) for the ring; and 3) a bistable photoswitchable unit (P) at the other end. Under the conditions employed, the axle pierces the ring exclusively with the photoactive gate in its initial



**Figure 1.** a) Strategy for the photoinduced unidirectional transit of a non-symmetric axle through a molecular ring. b) Simplified potential energy curves (free energy versus ring-axle distance) for the states shown in (a) describing the operation of the system in terms of a flashing ratchet mechanism. Slower processes that do not take place under our conditions are represented by shaded cartoons and dashed lines.

form ( $\alpha$ ) for kinetic reasons,<sup>[13]</sup> affording a pseudorotaxane in which the molecular ring encircles the recognition site S. Subsequently, light irradiation converts the  $\alpha$ -P end group into the bulkier  $\beta$  form, a process which also causes a destabilization of the supramolecular complex. Therefore, a dethreading of the system is expected, which should occur by extrusion of the D moiety of the axle. Reset is obtained by photochemical or thermal conversion of the  $\beta$ -P gate back to the  $\alpha$  state, thereby regenerating the starting form of the axle. Overall, the photoinduced directionally controlled transit of the axle through the ring would be obtained according to a flashing energy ratchet mechanism (Figure 1b).<sup>[4b,14]</sup>

Clearly, the success of such a strategy relies on two basic requirements: 1) the kinetic barriers for the slippage of the axle end groups through the ring<sup>[15]</sup> should follow the order:  $E^+_{\alpha-P} < E^+_D < E^+_{\beta-P}$ ; and 2) the ring should form a more

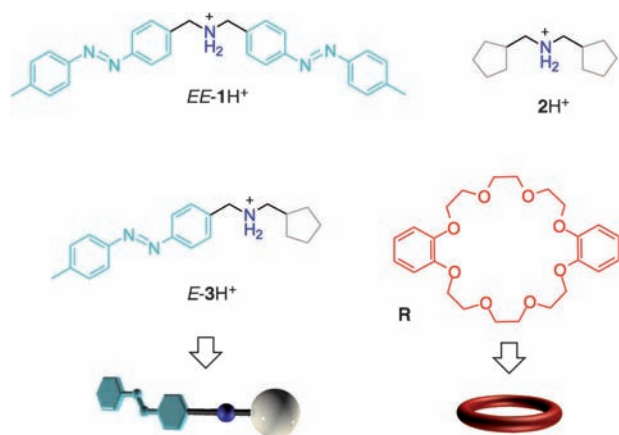
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stable pseudorotaxane with the axle bearing the  $\alpha$ -P unit compared to that bearing the  $\beta$ -P unit. From a practical viewpoint, to enable a clean operation and characterization of the system, it is important that the differences in the energy barriers and stability constants are sufficiently large, and that the photochemical interconversion of the P gate between  $\alpha$  and  $\beta$  forms is fast, efficient, and reversible.

In a previous investigation,<sup>[16]</sup> we studied the threaded complex of axle  $EE$ - $1H^+$ , composed of a dialkylammonium hydrogen-bonding site equipped with two azobenzene end units, with the dibenzo[24]crown-8 ring **R** (Scheme 1).<sup>[17]</sup> We



**Scheme 1.** Structure formulas and cartoon representation of the examined axle and ring components.

found that the threading–dethreading rate constants are slowed down by at least four orders of magnitude when the *E*-azobenzene end units are photoisomerized to the *Z* form, practically transforming the complex into a rotaxane; moreover, the stability constant drops by a factor of two (Table 1).<sup>[18]</sup> The system exhibits excellent photoswitching and reversibility, and it is easy to synthesize. Encouraged by these results, we looked for a passive pseudo-stopper (D in Figure 1) with steric hindrance for slippage through **R** that is intermediate between that of the *E*- and *Z*-azobenzene end units of  $1H^+$ .

It was shown earlier by Stoddart and co-workers<sup>[19]</sup> that in chloroform/acetonitrile 3:1 the bis(cyclopentylmethyl)ammonium ion  $2H^+$  (Scheme 1) is complexed by **R** in a pseudorotaxane fashion, with threading and dethreading rate-constant values that fall in between those observed for  $EE$ - $1H^+$  and  $ZZ$ - $1H^+$  with **R**.<sup>[16]</sup> Therefore, we envisaged that the strategy shown in Figure 1 could be implemented with a non-symmetric axle such as  $E$ - $3H^+$  (Scheme 1).

The synthesis of  $E$ - $3H^+$  was performed by reductive amination of cyclopentanecarbaldehyde with (4-nitrophenyl)methanamine, reduction of the nitro group to amine through hydrogenation and subsequent Mill's coupling with *p*-methylnitrosobenzene in acetic acid followed by anion exchange with  $NH_4PF_6$ . The  $E$ - $3H^+$ · $PF_6$  salt was fully characterized by  $^1H$  and  $^{13}C$  NMR, DQF-COSY, ESI-MS, and UV/Vis absorption spectroscopy.

$^1H$  NMR spectroscopic titration experiments in acetonitrile show that  $E$ - $3H^+$  and **R** self-assemble to yield a pseudorotaxane (Supporting Information). The corresponding thermodynamic and kinetic data are reported in Table 1, together with those for the threading–dethreading of the symmetric axles  $EE$ - $1H^+$ ,  $ZZ$ - $1H^+$  and  $2H^+$  with **R**. It can be noted that the threading rate constant  $k_{in}$  for  $E$ - $3H^+$  is nearly half that for  $EE$ - $1H^+$ , and two orders of magnitude larger than that for  $2H^+$ . This observation clearly indicates that on the observed timescale,  $E$ - $3H^+$  pierces the ring exclusively with its *E*-azobenzene terminus. The decrease of the threading constant by a factor of two compared with that for  $EE$ - $1H^+$ , which can thread the ring with both its extremities, is fully consistent with this picture.

Irradiation of  $E$ - $3H^+$  at 365 nm affords  $Z$ - $3H^+$  with a quantum efficiency of 0.17 and a yield of over 95 % at the photostationary state. Also  $Z$ - $3H^+$  forms a pseudorotaxane with **R**, and it has a threading rate constant that is nearly half that of  $2H^+$  and almost 20 times larger than that of  $ZZ$ - $1H^+$  (Table 1). These results show that  $Z$ - $3H^+$  threads **R** from its cyclopentyl terminus. It is noteworthy that the  $E \rightarrow Z$  photoisomerization of the azobenzene end group of  $3H^+$  also takes place efficiently when this compound is surrounded by **R**. Therefore, we can kinetically control the threading–dethreading side of  $3H^+$  by photoadjusting the steric hindrance of its

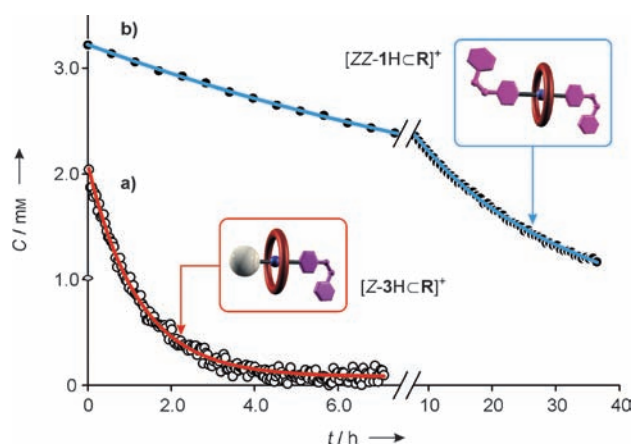
**Table 1:** Kinetic and thermodynamic data for the self-assembly of the investigated complexes in  $CD_3CN$  at 298 K.<sup>[a]</sup>

Complex	$K^{[b]}$ [L mol <sup>-1</sup> ]	$-\Delta G^{o[c]}$ [kcal mol <sup>-1</sup> ]	$k_{in}^{[d]}$ [L mol <sup>-1</sup> s <sup>-1</sup> ]	$-\Delta G_{in}^\ddagger^{[e]}$ [kcal mol <sup>-1</sup> ]	$k_{out}^{[d]}$ [s <sup>-1</sup> ]	$-\Delta G_{out}^\ddagger^{[e]}$ [kcal mol <sup>-1</sup> ]	$t_{1/2}^{[f]}$
[ $EE$ - $1H$ · <b>R</b> ] $PF_6$	820	3.9	37 <sup>[g]</sup>	15	$4.5 \times 10^{-2[h]}$	19.3	15.4 s
[ $ZZ$ - $1H$ · <b>R</b> ] $PF_6$	400	3.5	$2.9 \times 10^{-3}$	20.9	$7.2 \times 10^{-6}$	24.5	27 h
[ $2H$ · <b>R</b> ] $PF_6$	ca. 30	2	$1.3 \times 10^{-1}$	18.6	$4.4 \times 10^{-3}$	20.7	2.6 min
[ $E$ - $3H$ · <b>R</b> ] $PF_6$	225	3.2	22 <sup>[g]</sup>	15.6	0.1 <sup>[h]</sup>	18.8	6.3 s
[ $Z$ - $3H$ · <b>R</b> ] $PF_6$	230	3.2	$5.1 \times 10^{-2}$	19.2	$2.6 \times 10^{-4}$	22.3	46 min

[a] The reactions were followed with  $^1H$  NMR spectroscopy by monitoring the changes in the relative intensities of the signals associated with the probe protons in the complexed and uncomplexed ammonium ions. [b] The  $K$  values were obtained from four single-point measurements of the concentrations of the complexed and uncomplexed cations, in the relevant  $^1H$  NMR spectrum, by using the expression  $K = [\text{complex}]/[\text{ring}][\text{axle}]$ . [c] The free energies of association ( $\Delta G^o$ ) were calculated from the  $K$  values by using the expression  $\Delta G^o = -RT \ln K$ . [d] The threading ( $k_{in}$ ) and dethreading ( $k_{out}$ ) rate constants were calculated by fitting the concentrations of complexed and uncomplexed ammonium ions, extracted from  $^1H$  NMR kinetics experiments. [e] The free energies of activation for the threading ( $-\Delta G_{in}^\ddagger$ ) and dethreading ( $-\Delta G_{out}^\ddagger$ ) processes were calculated by using the relationships  $-\Delta G_{in}^\ddagger = -RT \ln(k_{in}h/kT)$  and  $-\Delta G_{out}^\ddagger = -RT \ln(k_{out}h/kT)$ , respectively, where  $R$ ,  $h$ , and  $k$  correspond to the gas, Planck, and Boltzmann constants, respectively. [f] The half-life of the complexes were calculated from  $k_{out}$  values by using the expression  $t_{1/2} = \ln 2/k_{out}$ . [g] Determined by stopped flow UV/Vis absorption spectroscopy. [h] Calculated from the  $k_{in}$  and  $K$  values by using the expression  $k_{out} = k_{in}/K$ .

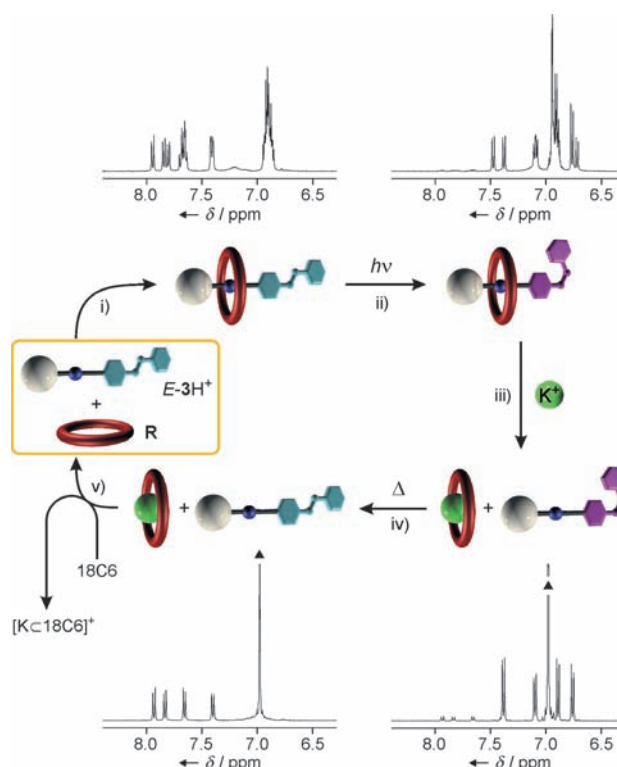
azobenzene end group below and above that of the photoinactive cyclopentyl pseudo-stopper located at the other end.

Unfortunately, in contrast with the results found for the  $[EE-1H\text{C}R]^+$  and  $[ZZ-1H\text{C}R]^+$  pseudorotaxanes, the stability constants of  $[E-3H\text{C}R]^+$  and  $[Z-3H\text{C}R]^+$  are identical within errors (Table 1). Therefore, the dethreading of  $Z-3H^+$  from the ring cannot be caused by the same photochemical stimulus that triggers the azobenzene  $E \rightarrow Z$  isomerization. To promote the disassembly of the complexes<sup>[20]</sup> we used  $K^+$  ions, which act as competitive guests for  $R$ .<sup>[21,22]</sup> The addition of three equivalents of  $KPF_6$  causes the complete dethreading of both  $[E-3H\text{C}R]^+$  and  $[Z-3H\text{C}R]^+$ ; however, while the  $K^+$ -induced disassembly of the former complex is fast, the latter exhibits a dethreading half-life of 51 min (Figure 2a). This value is much shorter than the half-life of  $[ZZ-1H\text{C}R]^+$  in the presence of  $K^+$  (ca. 25 h, Figure 2b)<sup>[16]</sup> and is in excellent agreement with the half-life of  $Z-3H^+$  determined from the self-assembly data (46 min, Table 1). These observations indicate that the chemically induced disassembly of  $Z-3H^+$  and  $R$  takes place by extrusion of the cyclopentyl unit of the former from the cavity of the latter.



**Figure 2.** Concentration–time profiles, obtained from  $^1H$  NMR data in  $CD_3CN$  at 298 K, showing the  $K^+$ -induced dethreading of a)  $[Z-3H\text{C}R]^+$  and b)  $[ZZ-1H\text{C}R]^+$ . Conditions: a) 5.1 mM  $Z-3H^+$ , 5.4 mM  $R$  (about 40% complexation of the axle molecules), 15.2 mM  $KPF_6$ ; b) 4.8 mM  $ZZ-1H^+$ , 7.6 mM  $R$  (about 65% complexation of the axle molecules), 16.7 mM  $KPF_6$ .

The results of a summarizing experiment that illustrates the directional transit of the axle through the ring are shown in Figure 3.  $E-3H^+$  pierces  $R$  with its  $E$ -azobenzene side to form the  $[E-3H\text{C}R]^+$  pseudorotaxane complex, which equilibrates rapidly with its separated components. Irradiation in the near UV converts quantitatively  $[E-3H\text{C}R]^+$  into  $[Z-3H\text{C}R]^+$ , which has much slower assembly–disassembly kinetics. The successive addition of  $K^+$  ions promotes the dethreading of  $Z-3H^+$  from  $R$  by the passage of the cyclopentyl moiety through the cavity of the ring. It should be noted that equilibration of the  $[Z-3H\text{C}R]^+$  complex with its separated components, which would cause the loss of the information on the threading direction of  $E-3H^+$ , is much slower than the time required for the activation of the dethreading stimulus (addition of  $K^+$ ). Therefore, after the



**Figure 3.** Representation of the photochemically and chemically controlled transit of  $3H^+$  through  $R$  and relevant  $^1H$  NMR spectra (400 MHz,  $CD_3CN$ , 298 K). Conditions: i) 5.0 mM  $E-3H^+$  and 5.0 mM  $R$ ; ii) 365 nm, 15 min; iii) 10 mM  $KPF_6$ ; iv) 344 K, 2 h; v) 30 mM  $[18]crown-6$ . Marked signals are due to the  $[K\text{C}R]^+$  complex.

threading event the system is “locked” by photoisomerization, and the successive addition of potassium ions causes dethreading in the same direction along which threading of  $E-3H^+$  has initially occurred. The starting species  $E-3H^+$  can be fully regenerated by thermal  $Z \rightarrow E$  isomerization (half-life of 8 days at room temperature; see the Supporting Information). Sequestration of  $K^+$  by an excess (3 equiv) of  $[18]crown-6$  affords the re-assembly of  $[E-3H\text{C}R]^+$  and the full reset of the system.

This molecular device, however, if it would be incorporated in a compartmentalized structure (for example, embedded in the membrane of a vesicle), could not be used to pump the molecular axle and generate a transmembrane chemical potential<sup>[12]</sup> because the ring component has two identical faces. However, we are ready to apply the strategy developed in this work to supramolecular assemblies based on three-dimensional non-symmetric macrocycles with lengths that can approach the thickness of a bilayer membrane<sup>[23]</sup> and in which face-selective threading can be realized.<sup>[24]</sup> Indeed, the present system is characterized by a minimalist design, facile synthesis, convenient switching, and reversibility: all of these features can foster further research developments and constitute essential requirements for future real-world applications.

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